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Docket No. 15824.1.1



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Direct application of

Scot N. Andersen

Serial No. 10/701,788

Confirmation No. 7430

Filed: November 4, 2003

For: PRE-SHAPED DENTAL TRAYS AND TREATMENT
DEVICES AND METHODS THAT UTILIZE SUCH
DENTAL TRAYS

Examiner: Not Yet Assigned

Customer No.: 022913

Art Unit
3732

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

I hereby certify that following documents are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated below in an envelope addressed to: Commissioner of Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Date of deposit: August 31, 2004.

- Information Disclosure Statement
- Form PTO-1449 Listing One (1) Reference
- Copies of the One (1) Listed Reference
- Transmittal Letter (2 copies)
- Postcard

Respectfully submitted,

RMH

John M. Guynn
Attorney for Applicant(s)
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JMG:mla

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PATENT APPLICATION
Docket No. 15824.1.1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of	Scot N. Andersen)
)
Serial No.	10/701,788) Art Unit
) 3732
Confirmation No.	7430)
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Filed:	November 4, 2003)
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For:	PRE-SHAPED DENTAL TRAYS AND TREATMENT DEVICES AND METHODS THAT UTILIZE SUCH DENTAL TRAYS)
)
Examiner:	Not Yet Assigned)
)
Customer No.:	022913)

TRANSMITTAL FOR SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing and pursuant to 37 C.F.R. § 1.97 is a Supplemental Information Disclosure Statement.

Enclosed also are the following designated documents, as required under 37 C.F.R. §§ 1.97 and 1.98:

X Form PTO-1449 list of one (1) reference submitted for consideration.

X Legible copies of the listed references or their relevant portions.

Because this Information Disclosure Statement is being filed prior to the mailing of the first substantive office action, no fee is required.

Please credit any over payment or charge any additional fees to Deposit Account No. 23-3178 of the undersigned.

Dated this 31st day of August 2004.

Respectfully submitted,



John M. Guynn
Attorney for Applicant
Registration No. 36,153
Customer No. 022913
801-533-9800



Docket No. 15824.1.1

In re application of

Serial No. 10/701,788

Confirmation No. 7430

Filed: November 4, 2003

For: **PRE-SHAPED DENTAL TRAYS AND TREATMENT
DEVICES AND METHODS THAT UTILIZE SUCH
DENTAL TRAYS**

Examiner: Not Yet Assigned

Art Unit
3732

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

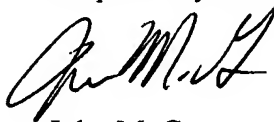
Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

Dated this 31st day of August 2004.

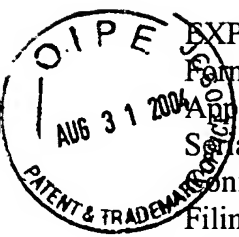
Respectfully submitted,

A handwritten signature in black ink, appearing to read 'John M. Guynn', is written over the typed name.

John M. Guynn
Attorney for Applicant
Registration No. 36,153
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Form PTO-1449

Sheet 1 of 1

Applicant: Scot N. Andersen

Serial No. 10/701,788

Att'y Docket No. 15824.1.1

Confirmation No. 7430

Group: 3732

Filing Date: November 4, 2003

For: PRE-SHAPED DENTAL TRAYS AND TREATMENT DEVICES AND
METHODS THAT UTILIZE SUCH DENTAL TRAYS

INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

Other Documents

(including author, title, pertinent pages, etc.)

Examiner

Initial*

_____ 1 Technical Bulletin: Hydrogen Peroxide-Polyvinylpyrrolidone Polymer
Complexes, International Specialty Products, 1361 Alps Road, Wayne New
Jersey 07470, www.ispcorp.com (Dec. 2003)

References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

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Examiner:

Date Considered:

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.
